APPENDIX 2

Correspondence

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1.	Abstract from: Air Coordinating Committee, New York Sub-
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	Subject: Approval to release free balloons from Allentown, Pa. and
	Lakehurst, N. J
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COPY

Abstract from:

AIR COORDINATING COMMITTEE
NEW YORK SUBCOMMITTEE ON AIRSPACE
RULES OF THE AIR AND AIR TRAFFIC CONTROL
385 Madison Avenue
New York 17, N. Y.

20 March 1947

N. Y. Meeting No. 12

PROBLEM:

1. The Secretary of the Subcommittee presented a request from the W_{ar} Department member in behalf of New York University for approval to release free balloons from Allentown, Pa. and Lakehurst, N. J.

DISCUSSION

2. The subject project is broken down into two phases as described below:

A. PHASE I.

- (1) The type balloon to be used in this phase of the project will be 6 ft. in diameter, hydrogen filled, encompassed by a nylon shroud with black and white panels 24" wide. Radio instruments weighing approximately 3 lbs. will be suspended approximately 50 ft. below the balloon and equipped with parachute device so that upon separation from the balloon, the attached equipment will float down towards the earth rather than become a freely falling body.
- (2) It is anticipated that two flights will be required in this phase of operation, the release to be made during weather conditions in which the sky is free of clouds and the visibility at least three miles at all altitudes up to 20,000 feet., within a four hour cruising radius from Allentown, Pa.
- (3) The balloon, during these flights, shall be convoyed by suitable aircraft to maintain air-ground communications on the balloon trajectory and equipped to effect destruction of the balloon at the termination of four hours flight or at such time that the balloon may become hazardous either to aircraft flight operations or the persons or property of others on the surface.
- (4) New York University will file a Notice to Airmen at least twelve (12) hours in advance of balloon release and a second notice will be filed at the time of release with the Allentown, Pa. Airways Communications Station.

B. PHASE II.

- (1) The type balloon to be used in this phase of the project will be a 15 to 40 ft. diameter plastic balloon, hydrogen filled. Radio equipment weighing approximately 25 lbs., will be suspended approximately 100 ft. below the balloon. The balloon will be towed to high altitude levels (above 20,000 feet) by three auxilliary lifting balloons fastened together with a 4 lb. weight. All equipment attached to the balloon will be equipped with parachute device so that upon separation from the balloon, the attached equipment will float down towards the earth rather than become a freely falling body. Upon attaining the desired altitude, the auxilliary lifting balloons will be released from the main balloon.
- (2) It is anticipated that a maximum of ten flights will be required in this phase of operation, 2 to 5 releases to be made from Allentown, Pa. and 2 to 5 releases to be made from Lakehurst, N. J. Release will be made during weather conditions in which the sky is free of clouds and the visibility at least three miles at all altitudes up to 20,000 feet.
- (3) The range of flight during this phase of operation will be between 30,000 and 60,000 feet. A period of six hours will be the maximum duration of flight.
- (4) New York University will provide an operator for tracking of the balloon during period of flight and will furnish information on its position to the N.Y. Air Traffic Control Center during period of flight.
- (5) New York University will file a Notice to Airmen at least twelve (12) hours in advance of balloon release and a second notice will be filed at time of release with either the Allentown, Pa. or Lakehurst, N.J. Communications Stations.
- (6) Destruction of the balloon will be predetermined to be effected over water where hazards are not present. Aerial convoy will not be effected during this phase of operation inasmuch as balloon flights will be conducted in excess of 20,000 feet.
- 3. The War Department member requests that balloon operations along the lines of Phase II be presented to the Washington Subcommittee for clearance with all other Regional Airspace Subcommittees, in consideration of War Department plans to continue the Phase II type of operation from White Sands, New Mexico, upon completion of the 12 proposed releases described herein. The type of balloon releases proposed out of White Sands, N. Mex., will involve flight through other regions.

RECOMMENDED ACTION

- 4. That the release of free balloons by New York University as described above in Paragraph 2-A (Phase I), Subparagraphs (1) (4) inclusive, be approved.
- 5. That the release of free balloons by New York University as described above in Paragraph 2-B (Phase II), Subparagraphs (1) (6) inclusive, be approved.
- 6. That the Washington Airspace Subcommittee present the Phase II operation to other Regional Airspace Subcommittees for clearance, in view of War Department plans to continue the Phase II type of operation from White Sands, New Mexico.

April 17, 1947

Mr. C. J. Stock, Secretary New York Subcommittee on Air Space 385 Madison Avenue New York 17, N. Y.

Reference: New York Meeting No. 12 Subject No. 26, New York Case #156

Dear Sir:

Receipt of the minutes of the above meeting are acknowledged with thanks. However, on reading them, a discrepancy was noted. We believe the weather conditions agreed upon for Phase 2 operations were not a cloudless sky, but no ceiling under 20,000 ft.

We realize that there might be occasions when the clouds present would not constitute a ceiling. Yet, due to chaotic or unstable sky conditions, our balloons might be considered an unseen hazard to aircraft.

It is therefore requested that we be permitted to fly these rapidly rising, high altitude balloons after obtaining clearance on days when there are no more than scattered clouds in thin layers up to 20,000 ft. and visibility greater than three miles.

This is an important point, as the phenomena which we hope to measure is not a frequent one and our chances to investigate the remote phenomena are markedly reduced if we have to wait for cloudless skies and the phenomena to coincide.

This would have been brought to your attention earlier. However, we are unable, until yesterday, to confirm our impressions with the representatives of the Army Air Forces who were present at the meeting.

Yours very truly,

C. S. Schneider Research Assistant

CSS:gm

DEPARTMENT OF COMMERCE CIVIL AERONAUTICS ADMINISTRATION

385 Madison Ave. New York 17, N. Y.

New York University College of Engineering Research Division University Heights New York 53, N. Y.

Attention: Mr. C. S. Schneider, Research Assistant

Dear Mr. Schneider:

This is in reply to your letter of April 17th.

It is true that at N.Y. Airspace Subcommittee Meeting #12, we advised you that the Phase II operations would be restricted to weather conditions in which the sky was clear of clouds below 20,000 feet and the visibility at least three miles at all altitudes up to and including 20,000 ft. However, it was indicated that these conditions were subject to concurrence and approval by the Washington Airspace Subcommittee.

In order to expedite final approval of this case, coordination was effected with the Washington Airspace Subcommittee immediately subsequent to our Meeting #12. It was revealed as a result of such coordination that the Washington Committee felt that the ceiling restriction was inadequate in the interests of air safety and required that a cloudless sky condition be specified.

This information was relayed to the members of the N.Y. Airspace Subcommittee and they in turn concurred with this amendment in the interest of air safety. The minutes of New York Meeting #12 were amended accordingly.

Yours very truly,

C. J. Stock Secretary, N. Y. Airspace Subcommittee

AIR COORDINATING COMMITTEE FORT WORTH REGIONAL AIRSPACE SUBCOMMITTEE P. O. BOX 1689 FORT WORTH 1, TEXAS

August 21, 1947

Meeting No. 30

Time:

August 21, 1947 - 10:00 a.m. to 1:30 p.m.

Place:

Regional Office, CAA, Ft. Worth, Texas

Members Present:

L. C. Elliott, Chairman

Lt. Col. Hall F. Smith, War Dept. Member Major Williams, War Dept. Alternate Member

Perry Hodgden, CAB Member

Commander James Douglas Arbes, Navy Dept. Member

Tracy Walsh, ATA Coordinator

Secretary:

Paul H. Boatman

EXTRACT COPY

SUBJECT

PAGE NUMBER

III. OBSTRUCTIONS TO AIR NAVIGATION

PROBLEM

1. The Secretary of the Subcommittee presented a request received from the New York University through the Department of Commerce Member for approval of releases of free balloons at the White Sands Proving Ground in Phase II operation as outlined in New York Subcommittee Meeting No. 12, dated March 20, 1947.

DISCUSSION

- 2. It was first thought that balloons would ascend and descend within the confines of the White Sands presently assigned danger area and that no further authorization would be required; however the Subcommittee was advised by the University that balloons have been descending outside of the area in the vicinity of Roswell, New Mexico. It, therefore, appeared that there was a certain amount of hazard to aircraft encountered in the descent of this equipment.
- 3. The Subcommittee did not have full information on the number of releases anticipated and other pertinent details; however it appeared the chances of collision of aircraft with this equipment was very remote and due to the fact prevailing winds in this area would ordinarily carry the equipment eastward, which would tend to carry it away from heavy travelled already established civil airways, that this activity might not be too objectionable.

- 4. The Department of Commerce Member stated that he felt it may be necessary to effect some coordination with air traffic in the local El Paso area but that due to the meager information available, this could not be determined without a discussion of methods and procedures with the people who were actually going to do the work.
- 5. The War Department Member stated that he felt it desirable to stipulate that local coordination should be effected with the Commanding Officer at Biggs Field.
 - (NOTE: At a meeting held in El Paso, Texas, on August 27, 1947, between representatives of the CAA and the New York University, procedures satisfactory to the Commerce Member and the Commanding Officer at Biggs Field were established).

RECOMMENDED ACTION

- 6. That release of free balloons by the New York University within the confines of the White Sands Proving area be approved provided that:
 - (a) Local coordination be effected to the satisfaction of the Department of Commerce Member and the Commanding Officer at Biggs Field to assure all precautions are taken to prevent collision of aircraft with this airborne equipment.

AIR COORDINATING COMMITTEE FORT WORTH REGIONAL AIRSPACE SUBCOMMITTEE P. O. BOX 1689 FORT WORTH 1, TEXAS

September 2, 1947

MEMORA NDUM

TO:

L. C. Elliott

Chairman, Ft. Worth Regional Airspace Subcommittee

Lt. Col. Hall F. Smith, War Dept. Member, Ft. Worth

Regional Airspace Subcommittee

FROM:

Secretary, Ft. Worth Regional Airspace Subcommittee

SUBJECT:

Procedure for Release of Free Balloons in the White Sands Danger

Area

The writer met with Mr. James R. Smith of New York University and Lt. V. D. Thompson of Alamogordo AAF, at El Paso, Texas, on August 27 to discuss procedures to be followed during the descent of free balloons released within the White Sands Danger Area.

Mr. Smith advised that he had met with the Commanding Officer at Biggs Field who had stated he desired no further coordination other than what the Civil Aeronautics Administration might require and that he would write a letter to Mr. Smith to this effect. Mr. Smith will forward this to the Chairman of the Subcommittee for the record.

Mr. Smith outlined their program, which consists for the most part of testing various types of balloons. Their program will probably be of 5 flights per month for the next 6 months, the first flight to be released on Sept. 6, weather permitting. Weather minimums were agreed on as not more than 4/10 of the sky covered or forecasted to be covered within the expected descent area (60 mile radius).

Balloons are tracked by VHF DF stations at Alamogordo and Roswell for the present plus an aircraft. When the balloon descends to 20,000 feet, if not in the clear, positions will be given every hour or so and will be put out as notams on Schedule "A" from the Roswell AAF. This will serve to advise the Army Fields, the airlines, and some itinerant traffic. In any case if the balloon is outside the assigned danger area, notams will be issued when the balloons descend below 15,000 feet.

The balloons are for the most part 15 feet in diameter and plastic. Suspended from the balloon is a 100 foot one thousand pound test nylon line which carries the airborne equipment. Releases are usually made at dawn and the flight terminates in an average of 8 hours time; it may be from 6 to 12 hours duration.

It is believed the notam procedure will serve to advise pilots of this activity effectively enough to provide the desired amount of caution. It is understood

the airlines have some instrument flights through this area at 20,000 feet; however these are for the most part at night and to the north of the expected balloon track.

/s/ Paul H. Boatman
PAUL H. BOATMAN
Secretary, Ft. Worth Regional Airspace
Subcommittee

C O P

APPENDIX 3

Flight Forms and Tables

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PRESSURE IN STANDARD ATMOSPHERE

(Accurate to .001 mm of Hq, .0001 in. of Hg and .002 of millibar)

	Thermal	Layer				I	sothermal	. Laver	
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-3,000	846.130	33.3121	1128.081		36,000	170.375	6.7077	227.148	
-2,000	816.582	32.1488	1088.686		37,000	162.430	6.3949	216.556	
-1,000	787.879	31.0188	1050.419		38,000	154.854	6.0966	206.455	
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2,000	706.634	27.8202	942.101		42,000	127.925	5.0364	170.553	
3,000	681.114	26.8155	908.077		43,000	121.959	4.8015	162.599	
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6,000	608.991	23.9760	811.921		46,000	105.678	4.1605	140.892	110
7,000	586 .37 5	23.0856	781.769		47,000	100.750	3.9665	134.322	
8,000	564.444	22.2222	752.530		48,000	96.051	3.7815	128.057	
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12,000	483.251	19.0256	644.282		52,000	79.348	3.1239	105.789	
13,000	464.511	18.2878	619.297		53,000	75.647	2.9782	100.854	
14,000	446.362	17.5733	595.100		54,000	72.119	2.8393	96.151	
15,000	428.793	16.8816	571.677	43	55,000	68.755	2 7060	01 666	00.5
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22,000	320.836	12.6313	427.746		62,000	49.217	1.9377	65.617	
23,000	307.403	12,1025	409.837		63,000	46,921	1.8473	62.556	
24,000	294.429	11.5917	392.540		64,000	44,733	1.7611	59.639	
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32,000	205.754	8.1005	274.316		72,000	30,528	1.2019	40.701	
33,000	196.394	7.7320	261.837		73,000	29,104	1.1458	38.802	
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PRESSURE IN STANDARD ATMOSPHERE

(Accurate to .001 mm of Hq, .0001 in. of Hg and .002 of millibar)

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(feet)	(mm Hq)*	(in.Hq)*	(mb)	
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79,000	21.852	.8603	29.134	
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80,000	20.833	.8202	27.775	735
81,000	19.862	.7820	26.480	
82,000	18.935	.7455	25.245	
83,000	18.052	.7107	24.067	
84,000	17.210	.6776	22.945	
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85,000	16.408	.6460	21.876	935
86,000	15.642	.6158	20.854	
87,000	14.913	.5871	19.882	
88,000	14.217	•5597	18.954	
89,000	13.554	•5336	18.071	
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90,000	12.922	.5087	17.228	1190
91,000	12.319	.4850	16.424	
92,000	11.745	.4624	15.659	
93,000	11.197	.4408	14.928	
94,000	10.675	.4203	14.232	
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95,000	10.177	•4007	13.568	1510
96,000	9.702	.3820	12.935	
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Volume	179.594 189.388 199.532 210.031		268.062 280.846 294.006 307.576	321.555 335.950 350.770 366.019	381.703 397.829 614.403 631.431	## 920 #66.875 504.207	56.23.85.23 66.73.85.23 67.73.85.23 67.73.85.23 67.73	8 \$ 5 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	75.938 76.514 86.327	322 578 349 399 376 797	\$225 5225 5225 5225 5225 5225 5225 5225	1150 34 1218 00 1361 15	
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Volume	8.1812 8.8103 9.4708 10.1635	10.8892 1.6486 3.2719	14.1371 15.0393 5.9789 6.9570	77.9741 19.0312 20.1289 21.2680	22.4493 24.9415 6.2539	98832 98833 98833	2.4.2.5.3.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2	82 g	338 %	 3.65% §	\$25.5	2824	
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						(50)							

MATHEMATICAL TABLES AND WEIGHTS AND MEASURES

Table 20. Diameters of Circles with Sides of Squares of Equal Areas

Diameter of circle = 1,12838 X side of square of couls area

Side of square = 0, 88623 X diameter of circle of same area

Table 21. Spheres: Diameters, Volumes, Surfaces

Bisneters by fractions

Surface = 3,14159 X (diameter)

Volume = 0,523598 X (diameter)

Basic Data for Computation of Molar Volume

ALBUQUERQUE, NEW MEXICO

January 19	43	(Mean Sounding)						
Altitude (KM)	Temp.	Pressure (Mb)	Humidity 	Molar Volume ft.3				
1.620 (Surface)	+ 3. 8	838	4 5	449				
2	3.4	800	46	463				
2.5	•6	752	4 5	4 86				
3	- 2.6	706	4 8	522				
4	- 8.3	622	51	567				
5	-14.6	546	50	631				
6	-21.2	477	4 8	704				
7	-28.3	416	45	78 6				
8	-35.7	332	39	872				
9	-43.0	312	-	983				
10	-49.7	269	-	1140				
11	-54.7	230	-	1250				
12	-57.2	197	-	1450				
13	-58.1	168	•	1690				
14	-60.2	143	-	1990				
15	-61.6	122	•	2320				
16	-63.0	104	-	2700				
17	-64.3	88	-	3170				
18	-65.1	75	-	3700				
		PHOEN IX,	ARIZONA					
20	-63	54	-	5410				

Basic Data for Computation of Molar Volume

ALBUQUERQUE, NEW MEXICO

(Mean Sounding)

August	t 1943	

Altitude (KM)	Temp.	Pressure (Mb)	Humidity %	Molar Volume ft.
1.620 (Surface)	25.2	838	44	4 80
2	23.3	803	39	492
2.5	20.4	758	42	517
3	16.6	715	48	541
4	8.8	634	66	594
5	1.1	562	79	652
6	- 5.6	495	72	715
7	-11.0	436	56	803
· 8	-17.1	382	4 5	895
9	-24.2	333	45	980
10	-31.6	290	-	1110
11	-39.4	251	-	1250
12	-47.0	217	-	1390
13	- 54.7	186	-	1560
14	-61.5	158	-	1780
15	-66.4	134	-	2060
16	-69.8	114	-	2460
17	-70.0	96	-	2830
		SANTA MARI	A, CALIFORNIA	
20	-58.1	58	-	4 960

Data for Molar Volume-Altitude Graph

Altitude, ft.	Molar Volume, ft.3	Altitude, ft.	Molar Volume, ft.3
5,000	420	50,000	2200
10,000	490	55,000	2850
15,000	590	60,000	3700
20,000	680	65,000	4900
25,000	820	70,000	6200
30,000	980	75,000	7800
35,000	1230	80,000	10,000
40,000	1410	85,000	12,600
45,000	1750	90,000	15,900
		95,000	20,200
		100,000	25,600

This data assumes a constant temperature (-60°C) above 65,000 ft., and below that altitude is based on representative pressures and temperatures taken from Washington, Albuquerque, Pittsburgh and Lakehurst soundings.

Individual variations from season to season, and from station to station may be noted in the graphs at the left of Figures 19 and 20. These variations are at most about 10%.

Remuneracion

La materia ha volado con este globo desde la New York University para hacer investigaciones meteorologicas. Se desea que esta materia se vuelva para estudiarle nuevamente.

Con este motivo, se dara una remuneracion de dolares norteamericanos y una suma proporcional para devolver todos los aparatos en buen estado. Para recibir instrucciones de embarque, comuniquense con la persona siguiente por telegrafo, gastos pagados por el recipiente, refirendo al numero del globo

CUIDADO: PELIGRO DE FLAMA. HAY KEROSEN EN EL TANQUE.

> C.S. Schneider Research Division New York University University Heights Bronx 53, N. Y.

NOTICE

This is special weather equipment sent aloft on research by New York University. It is important that the equipment be recovered. The finder is requested to protect the equipment from damage or theft, and to telegraph collect to: Mr. C. S. Schneider, New York University, 181st St. & University Heights, West Hall, New York City, U.S.A. Phone: LUdlow 4-0700, Extension 63 or 27. REFER TO FLIGHT #

A dollar (\$) reward and reasonable reimbursement for recovery expenses will be paid if the above instructions are followed before September 1948.

KEEP AWAY FROM FIRE. THERE IS KEROSENE IN THE TANK.

CUESTIONARIO

Tenga la bondad de contestar lo siguiente y enviarlonos para que podamos mandarle a Ud. la remuneracion.

- 1. En que fecha y a que hora se descubrio el globo?
- 2. Donde se descubrio? Indique la distancia y direccion aproximada del pueblo mas cercaro que se encuentra en el mapa del sitio de descubrimiento.
- 3. Se observo bajar? Cuando?
- 4. Se bajo despacio o se cayo rapidamente?

QUESTIONNAIRE

Please answer this and send to us so that we may pay you the reward.

- 1. On what date and at what hour was the balloon discovered?
- 2. Where was it discovered? (Approximate distance and direction from nearest town on map?)
- 3. Was it observed descending? If so, when?
- 4. Did it float down slowly or fall rapidly?

Flight No) .	· · · · · · · · · · · · · · · · · · ·				Date Time	·····
Balloon	Manufacturer Number			্	uanti		
Burnout F	atch and Wire			•			
Shrouds .	• • .	•		•	•	•	
al Balloon	Weight .	•	•	•			··········
Launching							
	Serial No.						
Line							
2nd Unit.	Serial No.						
Line							
3d Unit							
Line							
4th Unit	Serial No.						
Line	length					Control of the Contro	
Banner des	cription						
Ballast as	sembly - desc	rip	tion .				
			,	,	,		
	• •	•	•	•	•		
l Equipmen	t Weight.	• .	•	•	•	• • • • • • • • • • • • • • • • • • • •	

RATE OF RISE AND MAXIMUM ALTITUDE COMPUTATIONS

Flight No.		Date		
		Time	9	
		and the second s		
	BALLOON	INFLATION		
Desired Rate of Rise	• •.		ft./min.	
Gross Load				
Assumed Gross Lift (Gross Load	+ 10%)	G	**	
Free Lift - F = $(\frac{V}{412})^2 G^{2/3}$.	• • ,		-	
Equipment Weight. • •	• •			
Desired Balloom Inflation = Fr	ee Lift	+ Equipment Total		grams
Allowance for Leakage @	gm/l	r, hrs. wait	ing	tt
Actual Balloon Inflation .		• • •		**
	,			
		4 AL CARCIDE		
	MAXIMUL	ALTITUDE		
Balloon Volume	• •	Helium 11.1	cu. ft. kg/mol	
Gas Lift/mol	• •.	Hydrogen 12.0		
Molar Volume = Balloon volume	x gas 1	ift/mol		
gros	s load		cu. ft.	
Maximum Altitude			ft. m.s.l.	
Altitude Sensitivity .	• •.		ft./kg.	

BALLAST COMPUTATIONS

Flight No.	Date	
	Time	
Surface Balloon Diffusion (measured) (estimated)	• •	gms/h:
Percent Inflation.	• •	
Full Balloon Diffusion: Surface Diffusion	$1 \times \left(\frac{1}{\% \text{ inflation}}\right)^{2/3}$	
Ballast Leak (120% Full Balloon Diffusion	1).	
Automatic Ballast Valve Calibration		
	The Marian of the Association of the State o	
Estimated Ballast Duration.		

New York University Research Division Balloon Project

Λ	
3	•

	Supplementary Information	n for Flig	tht No.			
Release:	Site	date		_ time		_
Encoded S	ounding Data:					
Encoded U	pper Winds					_
Release N	Jeather					
	Hourly Weather	* . <u>4</u>	alasan valangiak pagamanakala vi kar eranda etaka			Januara.
Mun in Class	etch in Folder		Films Sent	t Out	yağın dirəniyə ayır adı, məy rəqimən dillərili bərənini ildə dilləri	
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Remarks						
Checked l	oy					

Transmitte	ar Periormance 1	or riight no.	
Release:	Date	Time	Site
Transmitte	er Type and Seri	al No.	
	Voltages Unde	r Load:	
Reception	at Station #1		
Reception	at Station #2		
Reception	at Station #3		
Critique			

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Athelstan F. Spilhaus, C.S. Schneider, and C.B. Moore "Controlled-Altitude Free Balloons" *Journal of Meteorology* Vol. 5, August 1948

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CONTROLLED-ALTITUDE FREE BALLOONS

By Athelstan F. Spilhaus, C. S. Schneider, and C. B. Moore

College of Engineering, New York University (Manuscript received 4 December 1947)

ABSTRACT

The results of an experimental program to develop balloons with associated control devices, which will float at constant pressure in the atmosphere, are given.

Newly developed plastic balloons and automatic ballast equipment are described. Examples of successful controlled-altitude flights are shown, together with a preliminary analysis of their trajectories.

The constant-level balloon may provide data not obtainable from an ordinary pilot-balloon network. Future possibilities and plans for its use are indicated.

1. Purpose

Drift bottles have been used for many years in the study of ocean currents and have provided interesting data. In meteorology, no corresponding device has been available. It is evident, however, that a balloon which is free to move with the air currents, and yet whose altitude can be controlled, has many important applications in meteorology, as well as in other fields. where it may be desired to keep instruments at altitude for considerable lengths of time. An example is in the investigation of cosmic rays; here, clusters of ordinary extensible meteorological balloons have been used, but the constancy of altitude obtained is not sufficient for many meteorological applications. The purpose of the present investigation was to develop a balloon with a control system which would fly at a predetermined constant level for periods of many hours. Such a balloon has wider application than the ocean drift bottle, because, whereas the latter is limited to surface (or near surface) currents, controlled free balloons may be set to drift at any pressure elevation desired, or along other thermodynamically defined surfaces, as long as the element defining the surface changes in a monotone fashion in the vertical.

In addition to the uses for maintaining instruments at high elevations, there are numerous potential applications of these balloons. Direct measurements of air trajectories and of lateral diffusion become possible. The balloons may also be used as vehicles to convey and drop radiosondes over ocean areas. One problem in this application is to obtain an absolute altitude tie-in point, as it will be difficult to identify the point at which the radiosonde reaches the sea surface.

2. Earlier attempts

There have been numerous attempts for various purposes to get a balloon or group of balloons to stay at a fairly constant altitude. Meisinger was interested

in the meteorological aspects of this, using a manned balloon. In the investigation of cosmic rays, as for example, by Clarke and Korff (1941), clusters of ordinary meteorological balloons, 350-gram or 700gram size, numbering anywhere from twenty to nearly seventy, were utilized. No altitude-control devices were used; the balloons were merely given different amounts of inflation. Thus the whole train ascended to an altitude where certain of the more highly inflated balloons burst until the remainder just balanced the load; thereafter, the assembly descended slowly due to loss of lift by the diffusion of gas. The only provision for having the system regain altitude if it descended too low was by arranging the launching before dawn, so that after the bursting of the first balloon and the subsequent descent, superheating of the balloons by the rising sun would cause the whole assembly to rise again, thereby increasing the duration of the flight. The system does not have sufficient control for many purposes.

The much-publicized use of balloons by the Japanese in the last war represents an attempt which must be considered highly successful from the point of view of the length of time which the balloons stayed in the air. Here the objective was not to obtain any critical altitude control, but rather to insure that the balloons remained floating. The Japanese nonextensible balloons were of two types. One type was of heavy paper, coated to minimize diffusion, of spherical shape, about 25 to 30 ft in diameter, and containing about 19,000 cubic feet of gas. A solid-ballast control system was utilized and gas was valved at a low internal pressure (about two inches of water) to prevent the balloons from rupturing due to the increase of the internal pressure by altitude fluctuations or radiation changes. Such a valve tends to conserve the lifting gas but acts as a safety device to prevent damage of the envelope due to too great an internal pressure.

The solid-ballast system was complex; approximately 900 pounds of sand was used on each balloon, distributed in thirty-six bags. The dropping of ballast

¹ Sponsored by, and in cooperation with the Watson Laboratories of the Air Materiel Command.

was controlled by a baroswitch arrangement which dropped a bag by igniting a fuse when the altitude fell below any one of four different levels between 25,000 and 5000 ft. In addition, a delay mechanism consisting of a two-minute fuse was arranged between successive switches so that after ballast was dropped, two minutes would be allowed for the balloon to regain its altitude; if it did not regain in this time another bag of ballast would be dropped. The system was inefficient because if any one of the thirty-six fuse arrangements failed, no more ballast was dropped.

The second type of Japanese balloon was similar, in general, but slightly larger; it was made of oiled silk and therefore would stand a greater internal pressure (approximately six inches of water). The higher the internal pressure that the balloon can stand, the less gas need be valved under conditions of superheating or altitude fluctuations. The Japanese released many balloons of these types from their islands and estimated five to seven per cent of those released reached the west coast of this country. The balloons floated between the surface and 30,000 ft above sea level; those which reached the west coast must have remained aloft from four to ten days. While the altitude maintained was not constant, these balloons were highly successful for the time they remained in the air.

An attempt in this country was made in 1943 by the Dewey and Almy Company, to obtain constant-level balloons which would float at altitudes up to 15,000 ft. An ordinary 350-gram meteorological balloon was used but its volume was controlled by a nonextensible shroud around it. With this method a flight at about 5000 ft was obtained at fairly constant altitude for about an hour and a half.

3. Design of controlled-altitude balloons

As a result of the Japanese and other experiments, the use of a nonextensible envelope for the balloons was indicated. If a perfectly nonextensible balloon could be built with no diffusion through the walls, and which could withstand a high internal pressure, it would automatically stay at a constant density where the buoyancy of the full balloon equaled the load. In practice, control devices are needed to offset the leakage and diffusion of gas, to compensate for vertical currents in the atmosphere, to correct for the motion of the balloon due to diurnal changes of the balloon's temperature, and to compensate for the valving of gas which is necessary to prevent rupture of the envelope. It was decided to use a plastic as the balloon fabric, as some modern plastics are quite transparent to radiation, strong, easily fabricated, and relatively inexpensive as compared with coated fabrics.

A. Choice of plastics.—In the selection of a plastic material of which to make the balloons, the desirable

properties are: (a) low brittle temperature, (b) low permeability, (c) high tensile strength, (d) high tear resistance, (e) chemical stability, (f) high radiation transmission or reflection. Polyethylene soon recommended itself for use, with its brittle temperature of below $-80\mathrm{F}$. It is apparently unaffected by ultraviolet and ozone. The permeability through one mil of thickness and one square meter of area for 24 hours is ten liters for hydrogen and seven liters for helium, at normal atmospheric temperature and pressure.

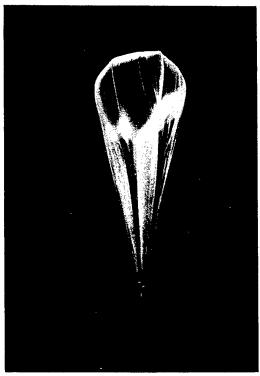


Fig. 1. Polyethylene balloon, 20-ft diameter.

Polyethylene is also relatively easy to fabricate. It has an ultimate tensile strength of 1,900 pounds per square inch at 25C, which, in a 15-ft balloon made out of four-mil fabric, represents a working pressure of about 2.3 inches of water. The tensile strength at the temperatures at which the balloon flies at high altitude may be more than three times the value quoted above.

Fig. 1 shows a polyethylene balloon² flown successfully in Flight 26 described below. Another film investigated is *Saran*, which has ten times the tensile strength of polyethylene—three times the strength across the seams. Saran has a higher transparency and one-thirtieth the permeability of polyethylene. The effective brittle temperature of Saran for this work is not known reliably.

B. Ballast valve.—The altitude control is an automatic ballast-dropping device³ consisting essentially of

² Made by General Mills, Inc.

⁸ Made by Kollsman Instrument Division of Square D Company.

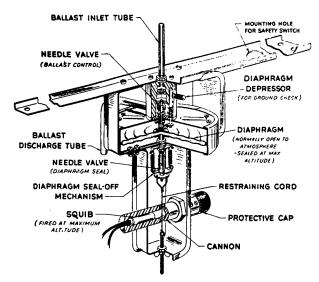


Fig. 2. Automatic ballast valve.

a diaphragm-operated needle valve which jettisons liquid ballast whenever the balloon is below the altitude at which the control is actuated. This is shown in fig. 2. The ballast reservoir (fig. 3), in general, can hold 15 kilograms of the liquid ballast—usually compass fluid, a highly refined kerosene-type petroleum product. When the atmospheric pressure outside the diaphragm is 5 millibars above the internal pressure, 160 grams of ballast per minute flow under a one-foot head. When the automatic ballast valve is wide open, which is after 6.5 millibars increase over the internal pressure, 300 grams per minute flow. These values may be compared with a diffusion loss of lift of the order of magnitude of 10 grams per hour from the thicker 15-ft balloon described below. Quite positive altitude control can be obtained.

Efforts are made to cause the static rate of leakage, *i.e.*, the leakage which proceeds when the automatic ballast valve is closed, to exceed slightly the rate of loss of lift due to the diffusion of the lifting gas from the balloon. To facilitate setting the fixed leak, a manually operated ballast valve, consisting of a leak adjustable by means of a fine needle valve, is added to the ballast-release assembly.⁴

C. Minimum pressure switch.—Obviously, the automatic ballast valve must not be in operation while the balloon is rising, as this would be a waste of ballast. Therefore the automatically operated needle valve is closed until the balloon reaches altitude. This is accomplished by having the loaded diaphragm of the altitude control open to the atmosphere until the balloon descends from a minimum pressure. At this time, an electrical contact is made and a squib⁵ cuts a

restraining cord and allows a needle valve to seal off the diaphragm from any further access to the air (fig. 2). The capsule then contains a volume of air which has been trapped at the existing pressure and temperature, at the time of operation of the sealing switch. Thereafter the aneroid will withdraw the ballast-control needle valve when the ambient pressure increases to the point where the entrapped air is compressed below this volume.

Fig. 4 shows the minimum pressure switch which makes the electrical contact at the time of seal-off. It consists of a trapped volume of air that is allowed to escape through a mercury pool as long as the outside pressure is decreasing. As soon as the exterior pressure increases once more, however, mercury is drawn into the tube, making the seal-off contact between two electrodes.

4. Height determination

Up to the present time, the standard radiosonde has been used in order to determine the altitude at which the balloon is flying. This permits a regular radiosonde ascent to be obtained during the period that the balloon is rising. Thereafter, as the balloon remains at approximately the same altitude, it becomes somewhat difficult to identify the radiosonde contact, but utilizing both the temperature and pressure indication, this is possible. A special radiosonde modulator of the Olland type has been designed (fig. 5). The pressure

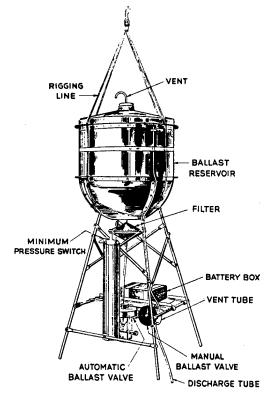


Fig. 3. Ballast-release assembly.

⁴ Since this manuscript was written, the procedure has been simplified. Only a simple fixed leak is used for daytime flights. The automatic ballast valve is used alone for flights through sunset or sunrise.

⁵ A small electrically detonated charge.

capsule and linkage is of conventional design but in place of the commutator bar, a motor driven helix is employed. This system permits the determination of

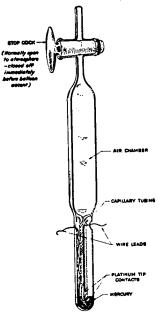


Fig. 4. Minimum pressure switch (mercurial).

pressure data without knowledge of the history of contact sequence or of the ascent or descent of the balloon, as is required in the conventional radiosonde.

5. Tracking of the balloon

The balloons that have been flown by the writers usually have been tracked by theodolites. Airplanes have also been used, to extend the observations. These two methods require the balloon to be visible and not obscured by cloud cover. When available, ground radar has been used in tracking the balloons, with good results.

A series of SCR 658 radio direction-finders is also used, arranged in a net along the expected trajectory of the balloon. In addition, aircraft equipped with inverted search radar have been employed to extend the tracking net.

6. Flight results

While the characteristics of various plastics were being investigated, four preliminary flights were made with clusters of ordinary meteorological balloons, from 16 to 26 in number, to which two to four towing balloons were attached. The towing balloons were cut free by a baroswitch at a predetermined altitude. The remainder of the balloons were inflated so that they exactly balanced the load hung from the cluster. To offset diffusion, sand was dropped from an arrangement of tubes, 9 to 16 in number, each containing about 200 to 1500 grams of sand ballast. This ballast was dropped by a baroswitch mechanism on descent

only. Some of these flights were relatively successful as a beginning method but the dropping of discrete quantities of sand caused too great fluctuation of altitude and therefore was abandoned later. The first successful flight stayed at 51,000 ft, plus or minus 100 ft, for 38 minutes; another remained between 30,000 and 40,000 ft for 147 minutes. The latter shows the same characteristic time-altitude curve as the cosmicray clusters, although its altitude control is superior. It is not believed that much improved altitude control can be obtained, utilizing ordinary meteorological balloons. Flight termination was usually due to deterioration of the balloon caused by the sun.

In the first flight utilizing plastic balloons, a cluster of ten seven-foot diameter balloons⁶ was used. The load on the cluster was 16.5 kilograms. An altitude control was used. Unfortunately, the maximum altitude reached was not as high as the predetermined altitude which was selected to seal the diaphragm of the automatic ballast valve. As a result, the cluster rose to ceiling and stayed at this altitude for a short while. Diffusion and leakage of helium produced a loss of lift at the rate of 125 feet per minute.

The next flight was made with a single polyethylene balloon, 15 ft in diameter. To insure sealing-off, the ballast-release diaphragm was set to operate at an altitude of 12,000 ft, considerably below the calculated ceiling of the balloon. After a dawn release the balloon continued to ascend to 15,100 ft where it leveled off, then slowly descended to 9000 ft due to diffusion losses. At this altitude the ballast release began to operate and thereafter the balloon maintained its altitude within ± 1300 ft for a period of $4\frac{1}{2}$ hours before the radio signal was lost. However, in the first two hours of this period, before the convection currents

⁶ Made by General Mills, Inc.

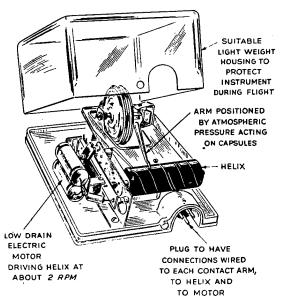


Fig. 5. Olland-cycle pressure modulator.

from the desert set in, the balloon maintained an altitude of 9200 ± 150 ft.

An explanation as to why the ballast release functioned at 9000 ft, although it was set to operate at 12,000 ft, is plain from the following data. The air in the diaphragm was sealed off on the dawn ascent at 12,000 ft, where the pressure was 657 mb and the temperature 9C. However, by the time the balloon passed through this level during the slow descent, the instrument temperature was 19C. This means that the pressure of the air trapped inside the diaphragm was higher than it was at time of seal-off.

For the ballast valve to function, the balloon had to descend to a pressure which would be greater by about 3 mb than the pressure of the trapped air at its now higher temperature. Of course, there was little ventilation past the instrument, and therefore the instrument temperature was about 25C above the ambient temperature after the sun had risen.

The automatic ballast valve operates when the volume inside the sealed diaphragm becomes slightly less than the volume at seal-off. Denoting the altitude at which it can operate by the subscript h, the pressure divided by the temperature at this altitude will equal the pressure at the seal-off altitude divided by the trapped-air temperature at the time of seal-off; in this case

$$p_s = 657 \text{ mb}$$

 $T_s = 9C = 282A$
 $T_h = 39C = 312A$,

where the subscript s refers to seal-off. Thus the pressure at altitude h is given by

$$p_h = p_s T_h / T_s = 727 \text{ mb.}$$

This pressure, at which ballast release will begin, corresponds to an altitude of 9000 ft, which is the observed altitude maintained by the balloon for nearly $4\frac{1}{2}$ hours, until the radiosonde tracking signal was lost.

The theodolite lost the balloon in clouds earlier and the airplane observer never succeeded in seeing it, so the balloon may have remained for a considerably longer period at this altitude. Eleven hours after beginning the ascent, the balloon was reported to have been seen over Albuquerque, New Mexico, and about 26 hours later a report was made from Pueblo, Colorado, which seemed to indicate that the balloon was still in the air at that time. The meteorological situation and wind data for that area at the time of flight support the contention that the latter observations were of the same balloon.

The next flight consisted of an assembly of various balloons, as follows:

One 15-ft diameter 0.008-inch polyethylene balloon, Six 7-ft diameter General Mills 0.001-inch polythene balloons,

Two 350-gm meteorological balloons for stadia measurements.

The single balloon had a measured diffusion loss of lift of 4 grams per hour. The General Mills balloons were observed to lose lift at the rate of about 100 grams per hour per balloon.

Three of the 7-ft balloons were inverted and deflated shortly after launching, due to differences in the rates of rise of the various balloons in the cluster. Therefore, the altitude reached was not high enough to effect seal-off. (It is for this reason that the minimum pressure switch was developed for use in later flights.)

Fig. 9 shows the elevation and plan views of the track of this flight. The train leveled off at 16,500 ft. The diffusion loss of lift of the remaining balloons was approximately 300 grams per hour. The ballast valve used had an unusually high rate of static leakage which had been measured before release and found to be 310 grams per hour. Thus fortuitously, the loss of lift was compensated by ballast leakage. This nearly

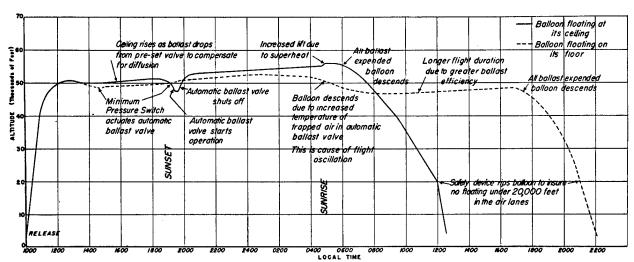


Fig. 6. Idealized time-altitude curves for various balloon-control systems.

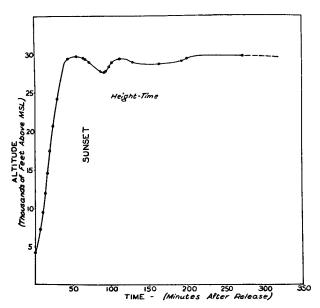


Fig. 7. Height-time curve of balloon Flight 17. Released at Alamogordo, New Mexico, on 9 September 1947 at 1647 MST (105th meridian). Recovered near Pratt, Kansas, 530 miles distant.

constant leakage held the balloon at $16,800 \pm 700$ ft for 7 hours. The duration of the flight was $9\frac{1}{4}$ hours. When the original 2700-gram ballast was expended, the balloon descended rapidly. Even had the automatic ballast valve been functioning, the constancy of altitude would have been the same. This seems to indicate that only a minimum of automatic control is needed, provided that diffusion losses are slightly overcompensated by a constant ballast leak.

Other flights also indicate the importance of a check valve in the balloon appendix to prevent dilution of the lifting gas with air. If this is not done, the altitude reached is far under the theoretical altitude determined by the displacement and gross load.

7. Control systems

Two systems of control are possible with the equipment as described. The balloon is controlled between an upper level (ceiling), where the full balloon buoyancy just equals the load, and a lower level (floor), below which the automatic ballast valve operates. Schematic curves for these two systems of control are shown in fig. 6.

In the first system of control the rate of static ballast leakage is greater than the diffusion loss of lift, and the balloon will stay at the ceiling. If it is displaced above the ceiling the buoyancy is insufficient to balance the load and it will descend again. Provided the rate of ballast discharge is greater than the rate of lift by loss of gas this ceiling will slowly rise by valving of gas, and as gas is lost by diffusion. The less the amount of gas the lower the pressure (higher ceiling) must be for the gas to fully distend the envelope. Unnecessary

valving is undesirable and may, in part, be minimized by use of a restraining safety valve set in the appendix, which will allow some slight pressure to be carried in the balloon, preventing gas loss at the peaks of minor oscillations but still valving gas before the balloon ruptures due to too great an internal pressure.

In this system of control, the automatic valve is not sealed off until the balloon starts a descent due to cooling or other changes in lift, as when night falls. Upon descent the valve is activated and starts dropping ballast immediately; this continues until the balloon is no longer losing lift at a rate greater than the diffusion losses. The balloon will then rise above its former ceiling to a height determined by the weight of ballast dropped, and remain there as long as there is ballast to compensate for lift losses. Flight 17, reproduced in fig. 7, used a low-leakage balloon and is an actual case of ceiling control. It may be compared with the idealized time-altitude curves in fig. 6.

In the second system of control the static rate of leakage is less than the diffusion loss of lift. In this case the balloon will descend to the floor, where the automatic control operates and the balloon floats at an equilibrium altitude where the rate of ballast release exactly balances the rate of loss of lift. Floor control conserves ballast, since only that needed for altitude control is released. However, the altitude of the floor varies diurnally as the temperature of the entrapped air in the automatic ballast valve is affected by solar radiation. Two methods are being investigated to circumvent this undesirable feature. One is to

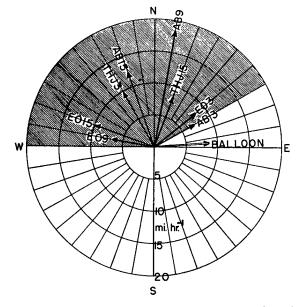


Fig. 8. Wind vectors at 16,000 feet for El Paso (EO), Albuquerque (AB), and Roswell (THJ), at 03h, 09h and 15h (MST) on 7 July 1947, in connection with balloon Flight 11, mean motion of which is shown by the balloon vector. Cross-hatched sector contains all wind vectors at these three stations for the three observation hours and for the three levels, 14,000, 16,000, and 18,000 feet.

temperature-compensate the diaphragm, the other to insulate and shield the valve from radiation.

Using the ceiling-control system, flights of less than 24 hours not passing through sunset, may be held at ceiling by use of a nonextensible balloon and a simple fixed rate of leak to over-compensate diffusion losses. The constancy of level will be better the lower the diffusion and the lower, therefore, the rate of rise of the ceiling. The automatic control is needed for flights lasting through a period in which day changes to night.

8. Preliminary trajectory analysis of two constantlevel balloon flights, 7 July 1947⁷

The most striking feature of the constant-level balloon flight (Flight 11, fig. 9) originating at Alamogordo Army Air Base at 05h08m MST8 on 7 July 1947 is the disagreement between the actual trajectory and the trajectory that might have been estimated from routine upper-wind reports. In this connection the observations from the Weather Bureau stations at El Paso, Roswell, and Albuquerque have been examined, since the path of the balloon was contained within the triangle formed

by these stations. Over El Paso, the wind direction at 16,000 ft (the approximate average altitude of the balloon during the greater part of the flight) was approximately SW at 03h, ESE at 09h, and ESE at 15h. Over Roswell, the apparent average wind direction at 16,000 ft was S during this period. Over Albuquerque, which was considerably farther from the path of the balloon than the other two stations, the wind direction at 16,000 ft was variable between WSW and SSE during the interval from 03h to 15h. In contrast with these observations is the fact that the constant-level balloon floated in an essentially steady WSW current between 06h and 09h.

In fig. 8 the wind observations at 16,000 ft have been plotted for El Paso, Roswell, and Albuquerque for 03^h, 09^h, and 15^h. The wind directions at 14,000 ft, 16,000 ft, and 18,000 ft (only the intermediate level is shown in the figure) are all contained in the 150-degree sector between directions 90° and 240°; yet the mean motion of the balloon (approximately 265°) between 05^h48^m and 13^h11^m falls entirely outside this sector.

An indication that this local WSW current was of small depth is given by a special upper-wind observation made at White Sands at about 13^h. The observation in question recorded a wind direction of 250° at 16,000 ft, which is in excellent agreement with the first

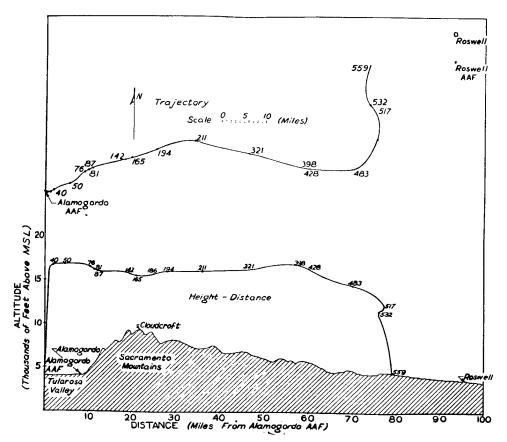


Fig. 9. Height-distance curve and planned trajectory of balloon Flight 11. Released at Alamogordo, New Mexico, 7 July 1947, at 0508 MST. (Numerals on curves indicate minutes after release.)

⁷ The authors are indebted to Prof. G. Emmons for contributing the major part of this section.

⁸ Mountain Standard Time—105th meridian civil time. All further time references will be tacitly MST.

part of the trajectory of the constant-level balloon. The interesting fact about the White Sands observation is that at all but one of the other reported altitudes between the ground and 20,000 ft, the wind directions were from either the NE or SE quadrants.

The trajectory of the balloon curved slightly anticyclonically over the eastern slopes of the Sacramento Mountains. This characteristic is suggestive of the well-known deforming effect of a mountain range on an air current directed toward the axis of the range. In this case, however, the validity of invoking the aforementioned effect to explain the anticyclonic cur-Evature, when the wind at levels below the mountain summits appears to have been blowing approximately parallel to the range, depends on assuming that the air currents parallel to the range themselves constitute a barrier deforming a higher current blowing in a different direction across the mountains. The sharp cyclonic bend that occurred after the balloon had come over relatively flat country occurred at the time that the balloon began its final descent and is due to the fact that the course of the balloon turned toward the north as a result of descent to levels where the wind had maintained a southerly direction throughout the day.

It is of interest to compare this flight with Flight 17 (fig. 10). It may be observed on fig. 10 that no deform-

ing effect of the mountain barrier is apparent. This, however, is to be expected, as the altitude of the balloon above the mountain top is three times that of Flight 11, where this anticyclonic deformation of the trajectory was observed. The balloon was ultimately recovered from Croft, Kansas, a distance of 530 miles from the release point; on the basis of the observed wind speeds a 12-hour flight duration is estimated.

9. Conclusion

Within the coming year it is hoped that a number of meteorological investigations may be attempted, utilizing constant-level balloons. Release of three or more from a single point to float at the same level, release at a number of points to obtain a synoptic presentation of the trajectories in a chosen level, and the dropping of radiosondes from balloons are some of the operations to be attempted. Efforts will be made to simplify the arrangement so that a constant-level flight may be made in a routine fashion and at no greater cost than the ordinary radiosonde flight.

REFERENCE

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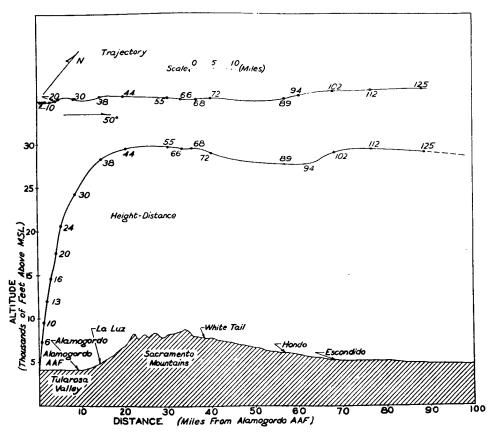


Fig. 10. Height-distance curve and planned trajectory of balloon Flight 17. Released at Alamogordo, New Mexico, 9 September 1947, at 1647 MST. First 125 minutes only are shown. (Numerals on curves indicate minutes after release.)